|  |  |
| --- | --- |
| Docket #: |  |

**Please complete these sections to provide background information about your Copyright or Software invention.**

 **Title**

*Provide a short descriptive title of the copyright or software.*

 **Nature of Work**

**Copyrightable**:

Check all boxes that apply to the copyrightable work:

* Questionnaire or other written work
* Pictorial, graphic, or sculptural work
* Motion picture or other audiovisual work
* Sound recording
* Architectural work

**Software:**

Check all boxes that apply to the software: Please also complete and submit the Software Appendix for any categories selected below ([UPENN\_Software-Appendix](https://pci.upenn.edu/wp-content/uploads/2024/12/UPENN_Software-Appendix.docx)) Please attach the completed appendix when submitting the disclosure.

* Software/Algorithm
* Data models, AI models
* Mask work or chip design
* Database or dataset

**Description of Copyright or Software**

*Write a brief detailed description of the copyrightable work or software.*

*Was Artificial Intelligence (AI) and/or Machine Learning (ML) used in the development of this work or software? If yes, please describe how AI and/or ML were used.*

**Related Invention, Copyright, or Software**

Is this work or software related to any prior invention, copyrightable works, or software disclosed to PCI. □ Yes □ No

*If yes, please provide the docket number and/or title of the related invention/copyright/software disclosure.*

**Need and Innovation**

*Describe the problem or unmet need that the copyrightable work or software solves, how is it an improvement on any existing solutions, and how you think it might be used in a commercial setting. Please also provide any insight you have into what a final commercial product or service based on this copyright work or software might be, and who would be the most likely end users or customers.*

**Future**

*Please describe your plans, if any, for further development of the copyrightable work or software (i.e., funding, trials, prototypes, etc.).:*

**Partners**

*List any companies that you feel might be interested in helping to further develop or commercialize copyrightable work or software.*

**Check the reason(s) that best describe why you submitted this software or copyright disclosure (check all that apply)**

|  |  |
| --- | --- |
|  | The work is groundbreaking/revolutionary |
|  | The work has significant commercial potential |
|  | The work is a platform technology (i.e., has many different product indications) |
|  | The work is a significant improvement over existing technology |
|  | To contribute to a public repository or add an open-source license for public distribution |
|  | A specific company has already expressed interest in licensing and/or developing the work |
|  | A partner in development/colleague suggested I should |
|  | I/we are interested in being involved with a startup company based on this work |
|  | To comply with the obligations of an existing sponsored research agreement/university alliance, or university policy |
|  | Other (please specify) |

**Publications**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Disclosure Type** | **Yes** | **No** | **Date (MM/DD/YYYY)** | **Details** |
| Provide the date when the copyrightable work or software was first reduced to a tangible medium (written or coded). How is this date documented? |  |  |  |  |
| Have you distributed the copyrightable work or software to anyone?  |  |  |  |  |
| For open-source copyright/software, has it been publicly released and where (e.g., put onto a public GitHub or Creative Commons)? Were license terms specified? |  |  |  |  |
| Was any of the work written by someone who is not listed as a Contributor on this form (e.g., student working under direction of faculty)?  |  |  |  |  |
| Does the copyrightable work or software include a copyright notice? |  |  |  |  |
| Does the copyrightable work or software include a sponsorship acknowledgment? |  |  |  |  |

**FUNDING AND CONTRACTUAL OBLIGATIONS**

*What funding source(s) were used to develop the invention? Please check all that apply and enter detailed information in the table below as appropriate.*

|  |  |  |
| --- | --- | --- |
| Government (Federal) □ Yes □NoGovernment (State/Local) □ Yes □ No | Company Sponsored Research □ Yes □ No | Foundation □ Yes □ No |
| Abramson (AFCRI) Funding □ Yes □ No | UPHS (including but not limited to Center for Healthcare Innovation) □ Yes □ No | PICI@Penn Funded Project □ Yes □ No |
| Other □ Yes □ No |  |  |
|  |  |  |
| **Sponsor, Foundation, or Granting Agency**  | **Grant #, Contract #, Agreement #** | **Principal Investigator** |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

Are you aware of any contracts/agreements that relate to this invention? Please check all that apply and enter detailed information in the table.

|  |  |  |
| --- | --- | --- |
| Consulting Agreement □ Yes □ No | Collaboration Agreement □ Yes □ No | Data Use Agreement □ Yes □ No |
| Confidentiality Agreement (CDA) □ Yes □ No | Service Agreement □ Yes □ No | Existing License □ Yes □ No |
| Material (Material Transfer Agreement) | Other □ Yes □ No |  |
| **Agreement Type** | **Party/Contact Information** |
|  |  |
|  |  |
|  |  |

**\*\*\*\*THIS INFORMATION IS REQUIRED IN ORDER FOR PENN TO COMPLY WITH FEDERAL REGULATIONS AND LEGAL CONTRACTUAL COMMITMENTS\*\*\*\***

All University of Pennsylvania inventors that have not already done so are required to submit an updated Participation Agreement to PCI (updated in the revised Patent Policy effective July 1, 2021). If you have not already submitted an updated Participation Agreement, please complete, and submit one along with this form. Click here to access [Participation agreement](https://pci.upenn.edu/wp-content/uploads/2022/12/Intellectual-Property.PARTICIPATION-AGREEMENT.v2021.pdf).

 **Contributors**

|  |  |
| --- | --- |
| **Printed Name:** |  |
| **Signature and date:** |  |
| **Citizenship:** |  |
| **School and Dept:** |  |
| **Phone, Fax, and E-mail:** |  |
| **Home Address\*:** |  |
| **Work Address:** |  |
| **Penn ID Number:** | **Penn Key:** |
| Do you have an appointment with the VA? **□ No □ Yes**Do you have an appointment with CHOP? **□ No □ Yes**Are you an HHMI Investigator? **□ No □ Yes** | Are you an Abramson (AFCRI) Investigator? **□ No □ Yes**Are you an UPHS Employee? **□ No □ Yes**Are you a PICI@Penn Member Researcher? **□ No □ Yes** |

|  |  |
| --- | --- |
| **Printed Name:** |  |
| **Signature and date:** |  |
| **Citizenship:** |  |
| **School and Dept:** |  |
| **Phone, Fax, and E-mail:** |  |
| **Home Address\*:** |  |
| **Work Address:** |  |
| **Penn ID Number:** | **Penn Key:** |
| Do you have an appointment with the VA? **□ No □ Yes**Do you have an appointment with CHOP? **□ No □ Yes**Are you an HHMI Investigator? **□ No □ Yes** | Are you an Abramson (AFCRI) Investigator? **□ No □ Yes**Are you an UPHS Employee? **□ No □ Yes**Are you a PICI@Penn Member Researcher? **□ No □ Yes** |

|  |  |
| --- | --- |
| **Printed Name:** |  |
| **Signature and date:** |  |
| **Citizenship:** |  |
| **School and Dept:** |  |
| **Phone, Fax, and E-mail:** |  |
| **Home Address\*:** |  |
| **Work Address:** |  |
| **Penn ID Number:** | **Penn Key:** |
| Do you have an appointment with the VA? **□ No □ Yes**Do you have an appointment with CHOP? **□ No □ Yes**Are you an HHMI Investigator? **□ No □ Yes** | Are you an Abramson (AFCRI) Investigator? **□ No □ Yes**Are you an UPHS Employee? **□ No □ Yes**Are you a PICI@Penn Member Researcher? **□ No □ Yes** |

Submit completed disclosure to disclose@pci.upenn.edu

Penn Center for Innovation 3600 Civic Center Boulevard, Floor 9, Philadelphia, PA 19104 | [pci.upenn.edu](http://www.pci.upenn.edu)